



## Investment Fund - Subscription Form 投資基金 – 認購表格

請將已填妥的表格傳真至(852) 2820 0606、郵寄至香港中環皇后大道中 181 號新紀元廣場低座九樓交收部或交回中環總部。 Please return your duly signed written form by fax to (852) 2820 0606, or by mail to Settlement Department, 9/F, Low Block, Grand Millennium Plaza, 181 Queen's Road Central, Hong Kong, or by visiting the Headquarters.

### Client Details 客戶資料

Client Name: 客戶姓名:	CSL* Account No.: 時富*帳戶號碼:	Contact No. : 聯絡電話:
Representative: 營業代表:	Representative Code: 營業代表編號:	Ext: 內線:

\* Celestial Securities Limited \*時富證券有限公司

### Detail of Instruction 指示內容

#Please tick the appropriate box

Please subscribe the fund(s) below on my/our behalf: 請代本人/吾等認購下面所列的基金:

請在適當方格內填上“✓”號

	Fund Name 基金名稱	Investment Currency 投資貨幣	Investment Amount 投資金額	Plus Subscription Fee 另加認購費用 _____%	Distribution Election 收益分配選擇	
					*Cash Dividend 現金派息	*Re- Invest 再投資
<input type="checkbox"/>	SWS Strategic Investment Funds – Shenyin Wanguo China Policy Focus Fund (Class A) 申銀萬國策略投資基金 – 申銀萬國中國國策焦點基金 (A類)	HKD 港元			<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	SWS Strategic Investment Funds – Shenyin Wanguo RMB Mainland Investment Fund (Class A) 申銀萬國策略投資基金 – 申萬人民幣投資基金 (A類)	RMB 人民幣			<input type="checkbox"/>	<input type="checkbox"/>

\*The fees payable in relation to or incidental to this investment (if any), including the service fee payable to financial adviser, will be deducted from redemption proceeds of the Fund(s).

\*所有涉及投資的相關費用(包括財務顧問的服務費)會在該基金贖回時扣除。

\* All the subscription order will be submitted as a qualified application before 10:15 a.m. The final price of the Fund(s) and the dealing date will be subjected to the confirmation from the Fund House.

\* 所有於上午 10:15 前遞交之認購表格將視為合資格之申請。基金之最後價格及交易生效日期以基金公司之確認為準。

### Declaration 聲明

I/we \_\_\_\_\_ hereby authorize Celestial Securities Limited (hereinafter as “CSL”) to do, on my/our behalf and in my/our names(s), the acts and things relating to my/our account(s) opened with CSL specified on this instruction form.

I/we declare that the investment decision is made by me/us and I/we have read and understand the Explanatory Memorandum (“EM”) and Product Key Facts Statement (“KFS”) of the relevant Fund(s), as amended, supplemented or replaced from time to time, and if applicable, the latest financial reports of the relevant Fund(s) I/we elected to subscribe for above and hereby agree to be bound by the terms and conditions contained therein. I/we have consulted Independent/Professional Advisors for further details and other risk factors before making any investment decision as I/we deem necessary. I/we agree and acknowledge that the value of the investment(s) may go up and down and by instructing CSL to make investments on my/our behalf, I/we am/are prepared to accept and am/are responsible solely for this risk and CSL shall be discharged from the liability whatsoever to me/us for any losses I/we may suffer as a result of the investment performance. In any transactions involving foreign currency conversion, I/we agree to adhere to the foreign exchange rates to be determined by CSL and/or its counter-parties.

I/we declare that I/we have examined the information provided by me/us on this form and confirm that they are correct and complete. I/we fully authorize CSL to carry out the instructions specified on this form and I/we agree to indemnify CSL for any losses, damages, costs, expenses or claims which CSL may suffer arising from CSL’s reliance on any of the information I/we have given.

I hereby acknowledge that where CSL is an Authorised Distributor distributing units in the relevant Fund(s) to me/us, I/we have read and understand the disclosures set out below prior to or at the point of my/our execution of this subscription:

- CSL, acting as an Authorised Distributor in the distribution of the Class A Units in the relevant Fund(s), is entitled to receive an initial charge of up to 5% of the Subscription Price of each Unit in respect of Class A Units of the relevant Fund(s) and has discretion to waive the initial charge in whole or in part in relation to any application for Class A Units whether generally or in a particular case.
- CSL is entitled to receive a distribution fee of up to 50% of the management fee in respect of Class A Units of the relevant Fund(s).

本人/吾等 \_\_\_\_\_ 謹此授權時富證券有限公司(下稱“時富”)代表本人/吾等及以本人/吾等之名義就本人/吾等之時富賬戶執行於本指示列明之行為及事項。

本人/吾等聲明此乃本人之投資決定。本人/吾等已詳讀並明白所選擇認購的相關基金不時修訂、補充及替代之注釋備忘錄(“備忘錄”)、產品資料概要(“產品概要”)和(如適用)最新財務報告,並特此同意受當中所載之條款及細則所約束。本人/吾等已就本人/吾等認為有需要之進一步資料及其他風險因素徵詢獨立/專業意見。本人/吾等同意及確認投資之價值可升可跌。指示時富代本人/吾等作出投資,本人/吾等接受及將獨自承擔此風險,時富將不就任何因投資表現而令本人/吾等蒙受之損失負責。有關任何涉及外幣兌換之交易,本人/吾等接受時富與其另一方決定之外幣兌換率。

本人/吾等聲明本人/吾等已檢閱本人/吾等於本指示提供之資料及確定其為正確及完整。本人/吾等完全授權時富執行本表格內之指示。本人/吾等同意就所有時富因依賴本人/吾等提供之資料而蒙受之損失、損害、成本、費用或申索作出彌償。

本人/吾等確知悉時富是相關基金的特許分銷商。在簽立此認購表格之時或之前,本人/吾等已詳讀並明白以下所載的披露:

- 時富作為分銷相關基金A類單位的特許分銷商,可以對A類單位收取最高達每單位認購價格5%之認購費用,並可酌情決定免除全部或部份認購費用,無論是對所有或對個別情況而言。
- 時富可以對A類單位收取最高達管理費50%的分銷費。

Client/ Representative Signature:

客戶/營業代表簽署: \_\_\_\_\_

Date:

日期: \_\_\_\_\_

Internal Use Only			
Account Balance	Handled by	Approved by	Received Date